

CAU/2163  
131

PATENT 01-07-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Scott Fergusson et al.

Serial No.: 09/916,951

Examiner Unknown

Filed: July 27, 2001

Group Art Unit 2163

For: METHODS AND SYSTEMS FOR MONITORING THE EFFICACY OF A  
MARKETING PROJECT

Docket No.: 1137.1103101

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 26 day of October, 2001.

By Brian N. Tufte

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x9=	\$	X18=	\$
INDEPEN- DENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+130=	\$	+260=	
TOTAL				\$		\$	

- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.
- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- ☒ Other: Information Disclosure Statement, Form PTO-1449 and cited references.
- ☒ Please charge any deficiencies or ~~credit any overpayment~~ in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

Brian N. Tufte

Reg. No. 38,638

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I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
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26<sup>th</sup> DAY OF October, 2001

Brian N. Tufte

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

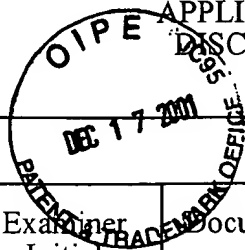
Scott Fergusson et al.

By their attorney,

Dated: October 26, 2001

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<b>FORM PTO-1449</b>		Atty. Docket No.: 1137.1103101	Serial No.: 09/916,951
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>		Applicant: Scott Fergusson et al.	
		Filing Date	Group Art:
		July 27, 2001	2163



### U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,826,243	10/20/1998	Musmanno et al.	705	35	
AB	5,930,764	07/27/1999	Melchione et al.	705	10	
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AE	6,076,072	06/13/2000	Libman	705	34	
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	Document No.	Date	Country	Class	Sub Class	Translation Yes No

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.